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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

210121

First Inventor or Application Identifier

Alexander Gaiger

Title

COMPOSITIONS AND METHODS FOR WT1
SPECIFIC IMMUNOTHERAPY

Express Mail Label No.

EM067736256US

Only for nonprovisional applications under 37 CFR § 1.53(b)

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 202311. ☐ General Authorization Form & Fee Transmittal
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] 119
(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure3. ☒ Drawing(s) (35 USC 113) [Total Sheets] 12

4. Oath or Declaration [Total Pages]

a. ☐ Newly executed (original or copy)b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)5. ☐ Incorporation By Reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered to be part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)a. ☒ Computer-Readable Copyb. ☒ Paper Copy (identical to computer copy)c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: _____

Prior application information: Examiner _____ Group / Art Unit _____

☐ Claims the benefit of Provisional Application No. _____

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SIGNATURE

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REGISTRATION NO. 37,414

Date September 30, 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicants : Alexander Gaiger
Martin A. Cheever
Filed : September 30, 1998
For : COMPOSITIONS AND METHODS FOR WT1 SPECIFIC
IMMUNOTHERAPY

Docket No. : 210121.465

Date : September 30, 1998

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

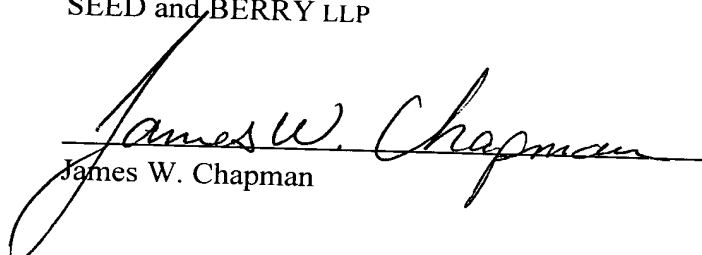
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Sir:

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Respectfully submitted,

SEED and BERRY LLP


James W. Chapman

DJM:asl

Enclosures:

Postcard
Computer Diskette
Form PTO/SB/05
Specification, Claims, Abstract (119 pages)
12 Sheets of Drawings (Figures 1-8B)
Paper Copy of Sequence Listing (59 pages)
Declaration Regarding Computer Diskette